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**Emotion Recognition in Facial and Vocal Patterns**

AMS Classification : 68T10 Pattern Recognition, speech recognition

ACM Classification : I.2.0 Artificial Intelligence - General – Cognitive simulation

**2. Related Work**

The problem of identifying emotions in Facial and Vocal Patterns is well-known and many approaches have been proposed, having different implementations and obtaining small yet important results.

The input data, as it may seem from the title, is a Video file, with sound, representing a person saying sentences from which emotions shall be extracted. This has divided previous approaches into two categories : those which try to obtain said emotional features from both video and audio at the same time, and those which separated the initial input into two separate streams, video and audio, treating them in separate networks for better results.

**2.1** **Combined processing**

As it can be observed in [2], the focus is on treating both the video and audio at the same time, having the results aggregated and posting a final prediction.

The proposed network architecture is a Hybrid CNN-RNN and C3D Network for the Video part, and an SVM with Learn Kernel, resulting in an effective yet rather heavy model. However, the performance is improved by using A Long Short Term Memory (LSTM) Network as the Recurrent Neural Network (RNN). The paper in question uses AFEW 6.0 Database as part of the EmotiW 2016 Challenge.

**2.2 Separate processing**  
Since processing both the audio and the video file at the concurrently may require more computing power, some of the papers tackling the same problem have tried separating these tasks into two separate networks, train them individually and then interpret the results from both of the networks.

As [9] proposes, one approach to tackle audio recognition within two paths : one is to extract Audio Features such as Pitch, Log-Energy, Zero crossing Rate and TEO, then pass them through an Audio Feature-Level Fusion and the other one is to interpret the MFCC (Mel Frequency Cepstral Coefficients) and split them in 3 emotion groups, then pass the results in an aggregating Audio Decision-level Fusion, resulting in one single emotion.

**3. Proposed Solution**

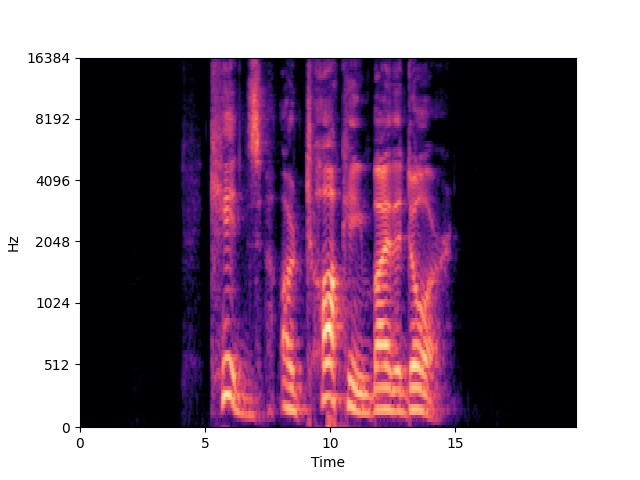
This paper will focus on separating the input, separating a video passed as input into two channels, one video and one audio, process them separately through two different networks and each of them returning a set of probabilities at a given timespan. The timespan duration will be one second, based on the words spoken per second by the average person, which is 2 or 3.

Having this said, frames within a second shall provide enough data to indicate a single emotion. If there are discrepancies between those two, they shall be flagged to be further interpreted.

**3.1 Audio processing**

For the audio processing, the input audio stream will be converted into a spectrogram in the range 0 – 16384 Hz. The frequencies that can be heard by the human ear are in the range 0 – 20000Hz, however, on average, human voices have range between 125Hz and 8000Hz.

Due to the fact that differences between emotions are fine, the frequencies generated by an audio clip in the dataset (Fig. 1) have been mapped in the full audible spectrum, so these differences could be easily detected.



**Fig 1. Spectrogram generated by a person displaying an angry emotion**

Spectrograms as presented in the previous figure will be passed in a Network that will have the starting point on a pre-trained model, EfficientNetB4 [16], known to provide better results with a smaller model compared to other similar networks, especially when tackling audio classification.

On top of that, the preliminary results will be further processed on a custom network.

**3.2 Video processing**

For the video processing part, the proposed solution is set to follow a similar approach as in [2] or [3], due to the fact that as opposed to audio streams, videos cannot be compressed to a static form, such as a spectrogram, and they need continuous processing, as provided by a Recurrent Neural Network (RNN). On top of that, classification will be performed on the video frames, therefore, a Convolutional Neural Network (CNN) shall be used.

**4. Dataset and data processing**

The dataset used for the training of this model is The Ryerson Audio-Visual Database of Emotional Speech and Song (RAVDESS) [15], which is composed of 24 actors saying two sentences, in multiple takes and with one of the 8 primary emotions (Neutral, Calm, Happy, Sad, Angry, Fearful, Disgust and Surprised), in different modalities : audio-video, video-only or audio-only.

For the audio processing the sound clips have been converted to spectrograms by first extracting the preliminary spectrogram and then mapping accordingly to the dB identified in the clip. After that, the resulting spectrograms are saved as image files, so they can be further transformed and processed into the network.

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